

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

|                      |                              |
|----------------------|------------------------------|
| Application Number   |                              |
| Filing Date          | February 9, 2001             |
| First Named Inventor | ALTMAN et al.                |
| Examiner Name        |                              |
| Group Art Unit       |                              |
| Attorney Docket No.  | YOR9-2000-0845US1 (8728-474) |

TOTAL AMOUNT OF PAYMENT (\$ 750.00

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |                      |
|------------------------|----------------------|
| Deposit Account Number | 50-0510/IBM          |
| Deposit Account Name   | IBM/Yorktown Heights |

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity  |               | Small Entity  |               | Fee Description        | Fee Paid |
|---------------|---------------|---------------|---------------|------------------------|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |                        |          |
| 101           | 710           | 201           | 355           | Utility filing fee     | 710      |
| 106           | 320           | 206           | 160           | Design filing fee      |          |
| 107           | 490           | 207           | 245           | Plant filing fee       |          |
| 108           | 710           | 208           | 355           | Reissue filing fee     |          |
| 114           | 150           | 214           | 75            | Provisional filing fee |          |

SUBTOTAL (1) (\$ 710.00

**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 20                 | 20** = 0     | 18             | 0        |
| 3                  | 3** = 0      | 80             | 0        |
| Multiple Dependent |              | 270            |          |

| Large Entity  |               | Small Entity  |               | Fee Description  |
|---------------|---------------|---------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |  |
| 103           | 18            | 203           | 9             | Claims in excess of 20                                     |
| 102           | 80            | 202           | 40            | Independent claims in excess of 3                          |
| 104           | 270           | 204           | 135           | Multiple dependent claim, if not paid                      |
| 109           | 80            | 209           | 40            | ** Reissue independent claims over original patent         |
| 110           | 18            | 210           | 9             | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

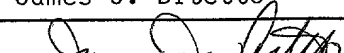
| Large Entity  |               | Small Entity  |               | Fee Description  | Fee Paid |
|---------------|---------------|---------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |  |          |
| 105           | 130           | 205           | 65            | Surcharge - late filing fee or oath  |          |
| 127           | 50            | 227           | 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139           | 130           | 139           | 130           | Non-English specification  |          |
| 147           | 2,520         | 147           | 2,520         | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112           | 920*          | 112           | 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 113           | 1,840*        | 113           | 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 115           | 110           | 215           | 55            | Extension for reply within first month                                     |          |
| 116           | 390           | 216           | 195           | Extension for reply within second month                                    |          |
| 117           | 890           | 217           | 445           | Extension for reply within third month                                     |          |
| 118           | 1,390         | 218           | 695           | Extension for reply within fourth month                                    |          |
| 128           | 1,890         | 228           | 945           | Extension for reply within fifth month                                     |          |
| 119           | 310           | 219           | 155           | Notice of Appeal   |          |
| 120           | 310           | 220           | 155           | Filing a brief in support of an appeal                                     |          |
| 121           | 270           | 221           | 135           | Request for oral hearing   |          |
| 138           | 1,510         | 138           | 1,510         | Petition to institute a public use proceeding                              |          |
| 140           | 110           | 240           | 55            | Petition to revive - unavoidable   |          |
| 141           | 1,240         | 241           | 620           | Petition to revive - unintentional   |          |
| 142           | 1,240         | 242           | 620           | Utility issue fee (or reissue)   |          |
| 143           | 440           | 243           | 220           | Design issue fee   |          |
| 144           | 600           | 244           | 300           | Plant issue fee  |          |
| 122           | 130           | 122           | 130           | Petitions to the Commissioner  |          |
| 123           | 50            | 123           | 50            | Processing fee under 37 CFR 1.17(a)  |          |
| 126           | 180           | 126           | 180           | Submission of Information Disclosure Stmt                                  |          |
| 581           | 40            | 581           | 40            | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146           | 710           | 246           | 355           | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149           | 710           | 249           | 355           | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179           | 710           | 279           | 355           | Request for Continued Examination (RCE)                                    |          |
| 169           | 900           | 169           | 900           | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00

**SUBMITTED BY**

|                   |   |                                   |        |           |              |
|-------------------|---|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | James J. Bitetto  | Registration No. (Attorney/Agent) | 40,513 | Telephone | 516-357-0091 |
| Signature         |  | Date                              | 2/9/01 |           |              |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Sir:

ATTORNEY DOCKET NO. YOR9-2000-0845 US1 (8728-474)  
Date: February 9, 2001  
Express Mail Label: EL679454112US  
Date of Deposit: February 9, 2001

Transmitted herewith for filing is the Patent Application of:

Inventors: Erik R. Altman, Clair John Glossner, III, Erdem Hokenek, David Meltzer, Mayan Moudgill

For: POWER CONTROL OF A PROCESSOR USING HARDWARE STRUCTURES CONTROLLED BY A  
COMPILER WITH AN ACCUMULATED INSTRUCTION PROFILE

Enclosed are: [X] 24 sheets of specification; [X] 1 sheet(s) of Abstract; [X] 6 sheet(s) of claims; [X] 4 sheet(s) of drawing(s):

[X] An assignment of the invention to: International Business Machines Corporation, Armonk, New York,  
with Recordation Form.

[X] Declaration and Power of Attorney.

[ ] A certified copy of a \_\_\_\_\_ application, from which priority under Title 35 USC §119 is claimed.

[X] Associate Power of Attorney.

The filing fee has been calculated as shown below:

| (Col. 1)                               |           | (Col. 2)  | OTHER THAN A<br>SMALL ENTITY |           |
|--|-----------|-----------|------------------------------|-----------|
| FOR:                                   | NO. FILED | NO. EXTRA | RATE                         | FEE       |
| BASIC FEE                              |           |           |                              | \$ 710.00 |
| TOTAL CLAIMS                           | 20 -20 =  | 0         | X \$18 =                     | \$ .00    |
| INDEP CLAIMS                           | 3 -3 =    | 0         | X \$80 =                     | \$ .00    |
| MULTIPLE DEPENDENT CLAIMS<br>PRESENTED |           |           | + 270 =                      |           |
|  |           |           | TOTAL                        | \$ 710.00 |

If the difference in Col. 1 is less than zero, enter "0"  
in Col. 2.

[ ] Checks in the amount of \$\_\_\_\_\_ and \$\_\_\_\_\_ to cover the filing fee(s) and recording fee are enclosed.

[X] Please charge my Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$710.00.

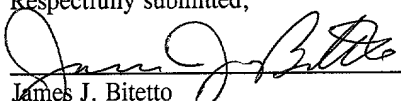
[X] The Commissioner is hereby authorized to charge payment of the following fees  
associated with this communication or credit any overpayment to  
Deposit Account No. 50-0510/IBM (Yorktown Heights). **A duplicate copy of this sheet is enclosed.**

[X] Any additional filing fees required under 37 CFR 1.16.

[X] Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By:

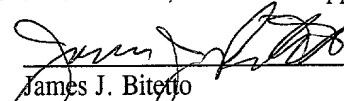
  
James J. Bitetto  
Registration No. 40,513

Attorney for:  
IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, NY 10598

Please address all  
correspondence to:  
F. CHAU & ASSOCIATES, LLP  
1900 Hempstead Turnpike, Suite 501  
East Meadow, NY 11554  
Tel: (516) 357-0091  
Fax: (516) 357-0092

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this Application transmittal and the documents referred to as enclosed are being deposited with the United States Postal Service on this date February 9, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL679454112US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

  
James J. Bitetto